



Diane E. O'Connell, MCIArb, AAA, FINRA, NFA
Independent Arbitrator

209 26th Street, Brooklyn, NY 11232
(646) 725-8473
diane.oconnell@odoadr.com

Bars and Courts

- American Arbitration Association - Commercial and Consumer Panel Rosters
- FINRA - Arbitration Roster
- National Futures Association (NFA) - Arbitration Roster
- Institute for Mediation & Conflict Resolution (IMCR) Roster
- NYS Courts - Part 137 and Small Claims Mediation Rosters
- New York State Bar
- New Jersey Bar
- Connecticut Bar
- US District Court for the District of New Jersey

Professional Associations and Memberships

- International Council for Online Dispute Resolution
- Ireland Arbitration
- New York International Arbitration Center (NYIAC) – Executive Committee
- Arbitral Women
- New York State Bar Association
 - o International Section - Past Chair, Diversity & Inclusion Committee Co-Chair
 - o Section Memberships - ADR, Federal & Commercial Litigation, Business Law, International Law, Corporate Counsel, Women in the Law
 - o Member of the Well Being Committee and Mental Health Task Force
- American Bar Association International Section
- International Bar Association
- National Speaker's Association Board Member

Legal Employment

- Do ADR & Law, P.C. - Independent Arbitrator, Mediator, Negotiator & Consultant: since June 2020
- PricewaterhouseCoopers LLP – Deals Director- 2010-2021
- Paul Hastings, Janofsky & Walker LLP, Associate - 2008-2009
- Fragomen, Delrey, Bernsen & Loewy (Global) LLP, Associate - 2007-2008
- New York Mercantile Exchange (NYMEX), Office of General Counsel - 2005-2007
- NYS Attorney General – Consumer Frauds and Protection Bureau – 2005
- Honorable Richard B. Lowe III, NYS Supreme Court – Commercial Division – 2004
- Merrill Lynch – Global Regulation & Enforcement – 2003
- Brooklyn Borough President's Office - Office of General Counsel – 2003
- NYC Department of Information Technology & Telecommunications – 2003

Education

- LLM – Financial Services, New York Law School, New York, NY, 2011
- Juris Doctor, New York Law School, New York, NY, 2005
- ABD, Bioremediation, CUNY Graduate Center, New York, NY 1995-1997
- BA Biology/Chemistry, Queens College, New York 1995

Recent Training and CLE

2024

- AAA Disclosure Dynamics in Arbitration
- Risk Management
- Probate and Administration of Estates

- Elevating Workplace Conflict Resolution: Strategies for Shifting the Well-Being Mindset· Updates on ICDR, the International Division of the American Arbitration Association

2023

- What New York Healthcare Lawyers, Providers and Payors Need to Know About Health Equity Now
- Cross Border Insolvency Practice
- Cybersecurity

2022

- Comprehensive Commercial Arbitration Training for Arbitrators and Counsel
- DEI Here Now and For the Future
- International Discovery: New Rules for a New World
- AI—Compliance and Ethics Issues in a World Dominated by Artificial Intelligence—Legal, Ethical and Compliance Issues Created by the Revolution
- Demystifying ESG: Understanding the Key Issues In-House Attorneys Should Know About ESG
- Best Practices: Roundtable on Different Approaches to Arbitration
- The Ethics of Freelance Legal Services: Hiring & Being Hired Including Contracts & Best Practices
- Shareholder Litigation
- International Arbitration Clauses

2021

- FDI Moot Court Shenzhen– Arbitration Judge
- ABA International Arbitration Skills Master Class
- AAA: Consumers Cases Training
- AAA: Expedited Process Training
- AAA: The Preliminary Hearing
- Arbitrator Technology and Case Management Practices
- The Three Satisfactions of Mediation Workshop
- Global Spotlight: Best Practices in Arbitrator Selection in Africa
- A Day in the Life: Personal Perspectives from General Counsels and Partners

- How to Enter the US Market: New Framework, Old Tips
- Judicially Aided Discovery, Provisional Relief in International Arbitration: UK & US
- Forget What You've Heard About GDPR
- Untangling Fear in Lawyering (in Ourselves and Others)

2020

- Push for Parity: Practical Tools for Emerging Arbitrators
- Online Dispute Resolution: The New Frontier
- The Next Generation of Tech-Industry Disputes From The In-House Counsel Who Will File And The ADR Practitioners Who Will Serve
- Spotlight on Life Sciences Disputes Episode 2 Podcast: Deep Diving Into Types Of Agreements
- The Future of Dispute Resolution
- Dispute Appointment Service (DAS)
- Dispute Resolvers in Times of Crises: How To Be A Resource During Times Of Heightened Need
- Planning for Arbitration: The Arbitration Agreement
- Conversations in Conflict Resolution: Lainey Feingold
- Advocacy in International Arbitration
- Arbitrating Against States and State-Owned Entities
- Enforcing International Arbitration Awards Under The New York Convention
- NYS Court Part 137 Fee Dispute Arbitration & Mediation Training
- Advanced Commercial Mediation Training
- Mediation Symposium 2020: Mediation as a multidisciplinary practice
- International Arbitration and EU Law: What Next?
- Combinations and Permutations: Creating a Solution-Driven Dispute Resolution Process
- A Conversation on the Role of ADR In Resolving Issues of Gender-Based Violence
- The Future of Dispute Resolution
- Entrenchment Mediation Training

- The Future of International Arbitration
- Disclosure and The Appearance of Bias In Arbitration
- Managing Cybersecurity Threats In Arbitration: What Arbitrators, Counsel, And Clients Should Know
- The Next Generation of Tech Industry Disputes From The In-House Counsel Who Will File And The ADR Practitioners Who Will Serve
- Artificial Intelligence and Data Analytics In International Arbitration
- Smart Contracts and Blockchain Dispute Resolution From International And Institutional Perspectives
- Managing Cybersecurity Threats in Arbitration: What Arbitrators, Counsel, and Clients Should Know
- Cybersecurity Boot Camp Training - What Must You Know in Your Arbitration and Mediation Practice
- Basic Mediation Training
- Dispelling Myths: International Arbitration in the U.S. and New York. Episode 2, Clashes of Costs and Cultures
- International Perspectives on COVID-Related Disputes
- Dispelling Myths: International Arbitration in the U.S. and New York. Episode 1, The Judiciary
- Commercial Arbitration Training for Arbitrators and Counsel: Comprehensive Training for the Conducting of Commercial Arbitrations, Domestic and International
- Drafting ADR Clauses: Exploring Creative Solutions to Dispute Resolution
- Deal or No Deal: The Impact of Brexit on "In Progress" Transactions
- The Impact of International Corruption on Cross-Border Litigation and Arbitration

2019

- Mock International Arbitration & Advocacy Workshop
- Advocacy & Ethics in International Arbitration
- The A-Team: We Love It When Your Arbitration Comes Together
- Judicial/International Litigation Plenary
- Drafting Distribution Contracts Around the World: A Practical Guide
- Challenges in Cross-Border White Collar Criminal Investigations

- Freezing Orders and Other Extraordinary Injunctive Relief in North America, Asia, and Europe: What Cross- Border Litigators Need to Know
- Cross-border M&A and Protectionism
- Human Rights in Daily Life: Human Trafficking, Diversity and Inclusion, & LGBTQ/Marriage Equality
- Competition Enforcement of Digital Platforms in the US, Japan and Other Jurisdictions The Global Expansion of Shareholder Activism
- Cyber and Data Security: What to Do When Your Company or Your Client is Hacked
- Blazing a Trail: Removing Implicit Bias and Strategies for Dealing with It
- Changing the Law: Current State of Sexual Harassment Law and Dealing with Harassment in the Legal Workplace
- New Rules and New Rulers: Doing Business and Managing Legal Disputes in Mexico – Houston
- The General Data Protection Regulation: Emerging Trends, Issues and Global Developments
- Cybersecurity Ethics and Compliance Issues: U.S. Rules, Employee impact
- Disruption: Startups, New Technology and New Legal Challenges
- Deal protections vs defensive measures (like poison pills)
- In-House Counsel Panel: Exploring Legal-Tech's Existing and Future Influence on the Practice of Law
- Challenges for Start-Ups: US & EU Economic Policies and Regulations
- Protection of Intellectual Property in Early Stage Ventures
- Starting a Start-Up: Should US Practitioners Always Choose US Law to Govern Transactional Agreements?
- Investing in a Start-Up in Sweden: Do's and Don'ts
- Emerging Issues in Ecommerce: Competition, Distribution, Data and Platform Power
- What to Do When It All Goes Wrong: New Challenges Facing Litigators in the Digital Era

2018

- Hot Topics in Mergers & Acquisitions
- Writing for Transactional Lawyers

- Hot Topics in Immigration Law
- Ethics of Practice
- Ethics in Today's Trans & Dispute Resolution Practice
- M & A and the Technology Revolution
- Cross-Border Transaction Opinion
- 2018 NYSBA Annual Meeting | International Section Meeting

2017

- Mock Arbitration
- Women in the Profession and Diversity as an Asset to Providing Innovative Client Service
- Cross-Border Attorney-Client Challenges: Privilege, Concurrent Representation, and Confidentiality
- Guide to Doing Business in Cuba Post-Castro and U.S. Embargo
- Corrupt Business Practices and their Impact on Human Rights in Guatemala
- Recent Trends in Commercial Law: Headwinds Ahead
- Ethics: Strengthening the Profession Against Illegality and Interference with Lawyer Independence
- M&A and Private Equity Trends in Cross-Border Transactions
- Recent Bribery Cases: How to Protect the Buyer of a Company Involved in a High-Risk Activity
- The Role of Local Bar Associations in the Promotion of Ethics and Compliance
- Anticorruption and Transparency Legislation in the Resource Extraction Industry
- Cross-Border and Local Financing Options for Latin American Companies
- Searching for a Common Language: A Comparison of Some Regulatory and Ethical Issues in Ireland, the United Kingdom, and the United States
- "View from the Bench": Perspectives on Judging
- Changes in privacy regulation and the potential
- role of the Irish Privacy Office as the designated EU regulator for US companies
- Practice of law in Ireland and New York
- and the merits of both as centers for dispute resolution

- International Human Rights: European and U.S. Perspectives on Current Challenges
- Anti-Corruption Law and Enforcement
- Discrimination: Different Perspectives on Hiring and Firing

2016

- Outside the Law: Uber, Air BnB and the Rise of the Collaborative Economy
- Commercial Divorce in Europe and Around the World
- Challenging IP: Fashion Design Protection and Appropriation Art in the US and EU
- Conversation with Max Schrems
- Advising Multinationals on Trade Compliance
- Enforcement of Antitrust Laws: Global Challenges
- Mergers & Acquisitions - Developments on the Market and in the Regulatory Environment
- That's Just the Standard Language!
- Costs and efficiency of arbitral proceedings – Polish comparative perspective
- Cross Border Transacting
- Cross Border Personal Data Transfer in Light of the Landmark Judgment in the Schrems case
- A Private Practitioner's Introduction to FATCA & Private Practice Considerations
- Essential Aspects of Property Restitution To Victims of Communism in Bulgaria

2015

- Securities Offerings: A Public Offering: How it is Done
- Broker-Dealer Advisory Services: Current legal and Compliance Issues Facing Dual Registration

2014

- How to Read Financial Statements
- Select Concepts in Drafting Contracts, analyzing ambiguities and contracts boilerplate

2013

- Fourteenth Annual Private Equity Forum
- This Really Happened: A Review of Real World Ethical Issues
- Troubleshooting Mortgage Servicing Problems: Regulatory Responses and Advocacy
- Banking Law Institute
- Writing For Transactional Lawyers
- M&A Litigation
- Avoiding Common Contracting Mistakes from a Litigator's Perspective
- Drafting New York Choice of Law and Choice of Court Clauses in International Commercial Transactions
- Venture Capital
- Residential Foreclosure Actions
- Private Equity Forum

2012

- Fraud, Regulatory Focus and the "Dark Side" of Performance Management
- Fundamental Concepts in Drafting Contracts: What most Attorneys fail to consider
- Guide to Financial Institutions
- The Sovereign Debt Crises in Europe: Where Is it Headed?
- International Commercial Mediation: Shaping the Future of Commercial Dispute Resolution?
- Cross-border Finance: A Brave New World?
- Trade Compliance Issues Affecting Multinationals and Counsel
- View from the Inside: Key Issues in Globalizing Your In-House Law Department
- Political Activities of Corporations and Shareholders
- Stolen Masters: The Sale of Stolen and Plundered Art
- Anti-Corruption Legislation: Developments in the International Anti-Corruption Regime
- Mergers and Acquisitions: Trends in Buyer's Protection
- International Capital Markets and Securities Regulations: Current Cross-Border Legal Issues and Legislation

- Alternative Law Firm Structures: Around the World

2011

- Doing Deals in Emerging Markets: BRIC and Beyond - PLI
- Minimizing FCPA Risks in Emerging Markets
- Registration Under the Advisers Act
- Advanced Licensing Agreements
- Writing for Transactional Lawyers – PLI
- Pocket MBA: Finance for Lawyers
- International Dispute Resolution

2009

- International Law & Practice Seminary FCPA

Speaking Engagements

2024

- Advanced Mediation Skills Institute (Virtual)
- Dispute Advocacy Institute for Mediators & Advocates (ABA Virtual)
- Bridging the Gap: Navigating Stressors in the Legal Field (NYSBA Webinar)
- Reinventing Workplace Wellness Benefits to Negotiate a Culture of Wellbeing (Chief Webinar)
- Elevating Workplace Conflict Resolution: Strategies for Shifting the Well-being (NYSBA)
- Cultivating an Inclusive & Productive Workplace: Navigating Mental Wellbeing & Psychological Safety (Webinar)
- Mindfulness in Law
- Legal Association for Women Symposium
- Shifting the Workplace Well-being Mindset: Strategies for a Healthier and Happier Work Environment
- Creating A Workplace Culture of Inclusion

2023

- ESG: Ethical Approaches to Improving Social Policies in the Workplace (NYSBA London)
- The Future of Work (New York)
- Maintaining wellbeing while negotiating conflicting interests (IMLA Webinar)
- Embracing Inclusion: The Power of Diversity in Supporting Employees with Mental Disabilities (IBA Paris)
- Does Your Workplace Culture of Wellbeing Have Titanic Syndrome? How to Your Crew From Sinking (Webinar)

2022

- "S" is the Essence of ESG (Goodenough College London)
- CyberSecurity and your Firm (New York)
- A Diverse Approach for Dispute Resolution Neutrals: The impact of implicit bias in reaching a resolution (Webinar)

2021

- A Diverse Approach for Dispute Resolution Neutrals: The impact of implicit bias in reaching a resolution (NYS DR)
- Navigating the ADR world: Speed bumps in starting a practice (Association for Conflict Resolution)
-

2020

- A Ireland for Law: Financial Services In Ireland Post-Brexit Part 2: New Innovations/Transactions (Webinar)
- Bridging the Gap: Addressing Wellbeing, Mental Health and Substance Use in the Legal Profession (Webinar)
- Diversity & Inclusion: Harnessing Tomorrow's Opportunities (Webinar)
- Ireland and International Arbitration (Webinar)
- Ireland for Law - Using Irish Law and Courts for Corporate Restructuring, Intellectual Property, Data Protection, and Derivatives (Webinar)
- Diversity & Inclusion in Brazilian Law Firms (Webinar)
- Commercial Arbitration Training for Arbitrators and Counsel: Comprehensive Training for the Conducting of Commercial Arbitrations, Domestic and International - Best Practices in Selecting Arbitrators (Webinar)
- Breathing Together – Racism, an International perspective (Webinar)

- Coronavirus vs. Legislation Internationally, Tracking legislative updates globally (Webinar)
- Act of God (Force Majeure) and Other Business Disruption Clauses for Commercial Transactions - How are they Being Interpreted on an International Level? (Webinar)
- Managing your career and mental health in times of disruption (Webinar)
- Updates on US v. South Africa experience of COVID-19 (Webinar)
- Coronavirus: International Legal and Practical Issues (Webinar)

2019

- General Counsel Roundtable Plenary – NYSBA International Section Global Conference – Tokyo
- Ethical Issues for Lawyers and Law Firms Concerning Cybersecurity – NYSBA International Section Global Conference – Tokyo
- Women on the Move: Women in Leadership Changing Paths | Leadership Development, Overcoming Barriers and Honing Your Skills; Albany, New York
- What is Discrimination & Diversity in a global market and how does it impact your practice? - NYSBA Annual Meeting - International Section; New York, NY
- Establishing a Business in the US: Do's and Don'ts of Structure, Tax, IP, Employment and Immigration, Compliance and Other Legal Matters - NYSBA International Section Regional Conference – NYSBA International Section Regional Conference - Stockholm

2018

- Cybersecurity Ethics/Comp Issues – NYSBA International Section Global Conference –Montreal

2017

- Access to advisory reports in deals: the risk of reliance – Internal presentation distributed nationally
- The Real Deal – It's more than just scope of services: Mastering the Art of Contracts – Internal presentation distributed nationally
- Management of Law Firms: Expansion and Growth of Law Firms – NYSBA International Section Global Conference –Guatemala
- Cyber Security, Ethics and Compliance for International Law Firms and Corporate Counsel – NYSBA International Section Global Conference – Guatemala

- Cybersecurity for Lawyers - NYSBA Annual Meeting - NYSBA Annual Meeting - International Section; New York, NY

2016

- Cross Border Data Security - NYSBA International Section Global Conference – Paris
- Women in the Law Internationally - NYSBA International Section Global Conference –Paris
- Addressing Delivery Risks in Statements of Work Contracts – Internal presentation distributed nationally
- I can do that? Understanding Challenges in the Deals timeline – Internal presentation distributed nationally

2015

- From the Magna Carta to Dodd-Frank: the Rule of Law and International Financial Regulation - - NYSBA Annual Meeting - International Section; New York, NY
- Deals Risk Management: Confidentiality & Clean Team Agreements – Internal presentation distributed nationally

2014

- Deals Contracting: Key Contract Considerations – Internal presentation distributed nationally
- New Developments in International Transactions and Dispute Resolution - Internal presentation distributed nationally

2013

- Hot Topics for Deals: Risk Quality and Independence for accounting professionals – Internal presentation distributed nationally

2011

- Procurement & Sourcing: Legal perspectives on preparing and responding to RFXs - Internal presentation distributed nationally
- Negotiating Sourcing Contracts: Adjusting terms to suit the subject matter – Institute for Supply Management, New York
- Contract terms and what they mean in procurement contracts – Institute for Supply Management, New York
- What is Ordinary Course of Business in procurement? – Internal presentation distributed nationally

2010

- US Export Licenses and International Human Capital in Technology – International Law Firm Training, London, Costa Rica, New York

2008

- Trading Institutions, Federal Law and Policy, & Credit Management: Regulations, Policies, and Enforcement of Energies – Columbia University

Publications

- How is the Global Pandemic Affecting the Legal Community Worldwide?, New York State Bar Association Global e-Newsletter,(Apr 2020)
- Diversity, An International Perspective: Cultural Competence is How We Bridge the Chasm Created by Diversity, New York State Bar Association, Global e-Newsletter (Dec 2019)
- Anxiety, a Slippery Slope, New York State Bar Association Journal (Nov 2019)
- A Big Firm Shouldn't Be Your Only Career Plan, New York State Bar Association Journal (Sept 2019)